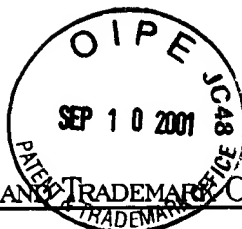




# UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/907,017	07/17/2001	Ward T. Brown	A01165

21898  
ROHM AND HAAS COMPANY  
PATENT DEPARTMENT  
100 INDEPENDENCE MALL WEST  
PHILADELPHIA, PA 19106-2399

RECEIVED  
AUG 31 2001  
PATENT DEPARTMENT

CONFIRMATION NO. 6074

## FORMALITIES LETTER



\*OC000000006486282\*

Date Mailed: 08/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/11/2001 HVUONG1 00000031 181850 09907017

01 FC:105 130.00 CH



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: A01165  
WAC/RRC:sfd

In re application of:  
Ward T. Brown :

Serial No.: 09/907,017 : Group Art Unit: 1711

Filed: July 17, 2001 : Examiner: Not yet assigned

For: WEAR-RESISTANT COATING COMPOSITION AND METHOD  
FOR PRODUCING A COATING

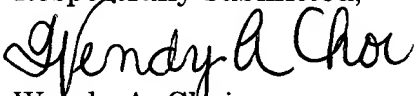
Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, DC 20231

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION

Sir:

In response to the Official Action dated, August 28, 2001, the undersigned Agent has enclosed a duly executed Declaration and Power of Attorney and authorization for payment of the deficiency of the statutory basic filing fees. A copy of the "Notice To File Missing Parts of Application" is also enclosed.

Please charge the payment of the surcharge to Deposit Account Number 18-1850. A Fee Sheet is enclosed in duplicate.

Respectfully submitted,  
  
Wendy A. Choi  
Attorney for Applicant(s)  
Reg. No. 36,697  
Tel. No. (215)-592-6758

Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399



Sector  
J

PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: A01165  
WAC/RRC:sfd

In re application of:  
Ward T. Brown

Serial No.: 09/907,017 : Group Art Unit: 1711

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For: WEAR-RESISTANT COATING COMPOSITION AND METHOD  
FOR PRODUCING A COATING

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, DC 20231

CERTIFICATE OF MAILING

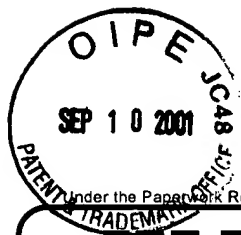
Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated next to my signature below:

Response To Notice To File Missing Parts of Application  
Declaration and Power of Attorney  
Copy of Notice To File Missing Parts of Non-Provisional Application  
Fee Transmittal (in duplicate)  
Return Receipt Postcard

Sept. 6, 2001  
Date

J. Shelley Dalrymple  
Signature



PTO/SB/17 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 130)

## Complete if Known

Application Number	09/907,017
Filing Date	July 17, 2001
First Named Inventor	Ward T. Brown
Examiner Name	
Group Art Unit	1711
Attorney Docket No.	A01165

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

18-1850

Deposit  
Account  
Name

Rohm and Haas Company

- ☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
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101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL(1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description
---------------------------	---------------------------	-----------------

103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
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105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	239	130	Non-English specification	
147	2,520	247	2,520	For filing a request for ex parte reexamination	
112	920*	212	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	213	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	238	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	222	130	Petitions to the Commissioner	
123	50	223	50	Processing fee under 37 CFR 1.17(q)	
126	180	226	180	Submission of Information Disclosure Stmt	
581	40	281	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	269	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130)

## SUBMITTED BY

Name (Print/Type)

Wendy A. Choi

Registration No.  
(Attorney/Agent)

36,697

Complete (if applicable)

Telephone

215-592-6758

Signature

*Wendy A. Choi*

Date

09/06/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.